# Homework 3 Solutions

COMS W3261, Summer B 2021

This homework is due Monday, 7/19/2021, at 11:59PM EST. Submit to GradeScope (course code: X3JEX4).

Grading policy reminder: IATEX is preferred, but neatly typed or handwritten solutions are acceptable. Your TAs may dock points for indecipherable writing. Proofs should be complete; that is, include enough information that a reader can clearly tell that the argument is rigorous.

The tool http://madebyevan.com/fsm/ may be useful for drawing finite state machines.

If a question is ambiguous, please state your assumptions. This way, we can give you credit for correct work. (Even better, post on Ed so that we can resolve the ambiguity.)

### 1 Problem 1 (12 points)

1. (6 points.) Prove that the language

$$A = \{ w \mid \text{ For all } y \in \{0,1\}^*, w \neq yy \}$$

over the alphabet  $\Sigma = \{0, 1\}$  is nonregular. You may use the pumping lemma and/or closure properties.

Recall that the complement of a language L over the alphabet  $\{0, 1\}$  is the language  $\{0, 1\}^* \setminus L$ : that is, all strings over  $\{0, 1\}$  except those in the language L. The complement of A is

 $\overline{A} = \{ w \mid w = yy \text{ for some } y \in \{0,1\}^* \} = \{ ww \mid w \in \{0,1\}^* \}.$ 

We proved in class that this language is nonregular using the pumping lemma.

Assume for contradiction that A is regular. Because the class of regular languages is closed under complement, this implies that  $\overline{A}$  is regular. Because this is a contradiction, our assumption is false and A is nonregular.

(We mentioned in class that the class of regular language is closed under complement. To see this, observe that any DFA D that recognizes a language L can be converted into a DFA that recognizes  $\overline{L}$  by turning every reject state into an accept state and vice versa.)

(Proving the statement using the pumping lemma is also fine.)

2. (6 points.) Prove that the language

$$B = \{1^n 0^m 1^n \mid n \ge 0, m \ge 1\}$$

over the alphabet  $\Sigma = \{0, 1\}$  is nonregular. You may use the pumping lemma and/or closure properties.

Assume for contradiction that B is regular. Thus B satisfies the pumping lemma, and there exists some pumping length p such that for every string  $s \in B$  with  $|s| \ge p$ , s can be split into substrings s = xyz such that  $xy^i z \in B$  for all  $i \ge 0$ , |y| > 0 and  $|xy| \le p$ .

Consider the string  $s = 1^{p}01^{p}$ , which is in the language *B*. As  $|s| \ge p$ , *s* can be split into substrings *x*, *y*, and *z* satisfying the conditions above if *B* is regular. We will show that each division of *s* into substrings fails the conditions of the pumping lemma.

- Case 1: s is divided into x, y, and z such that y does not contain a 0. In this case,  $xyyz = 1^{p+|y|}01^p$  (if y is a substring of the first p ones) or  $xyyz = 1^p01^{p+|y|}$  (if y is a substring of the second p ones). To satisfy our three conditions, it must be true that |y| > 0. However, this implies that  $xyyz \notin B$  because the two substrings of zeroes are not the same length.
- Case 2: s is divided into x, y, and z such that y contains a 0. In this case,  $xy^0z = xz$  is a string of all zeroes. Because every string in B has a nonzero number of ones,  $xz \notin B$ .

Thus there is no way to divide s into substrings x, y, and z in a way that satisfies the conditions of the pumping lemma. This contradicts our assumption that B is regular.

(To simplify these cases, one could also note that the condition  $|xy| \le p$  implies that y must be a substring of the first string of ones in any valid split.)

## 2 Problem 2 (8 points)

1. (8 points.) Is the language

$$C = \{a^{i}b^{j}c^{k} \mid i, j, k \ge 0; i \le j\} \cup \{a^{i}b^{j}c^{k} \mid i, j, k \ge 0; j \le k\} \cup \{a^{i}b^{j}c^{k} \mid i, j, k \ge 0; k \le i\}$$

over the alphabet  $\Sigma = \{a, b, c\}$  a regular language? Prove your answer.

Yes, this language is regular (even though the three component languages may not be.) To see this, observe that C is a subset of the language

$$L = \{a^{i}b^{j}c^{k} \mid i, j, k \ge 0\}.$$

When is a string in L but not in C? Precisely when the three additional conditions  $i \leq j, j \leq k$ , and  $k \leq i$  all fail. This occurs when i > j > k > i: in other words, never. Thus C = L.

It remains to show that L is regular. To see this, observe that the regular expression  $a^*b^*c^*$  evaluates to L.

## 3 Problem 3 (10 points)

1. (4 points). Convert the DFA below into a GNFA state diagram using the procedure outlined in class. (This procedure is also outlined in the textbook on page 71.)



The procedure outlined in class has several steps:

- (a) Add a new start state  $q_{start}$  connected by an  $\varepsilon$ -arrow to the old start state.
- (b) Add a new accept state  $q_{accept}$  with  $\varepsilon$ -arrows from the old accept state(s).
- (c) If there are multiple edges connecting any pair of states, merge them with a union operation.
- (d) Finally, add  $\emptyset$ -arrows between every ordered pair of states not connected by a transition, excepting transitions to the start state and from the accept state. (This includes transitions from states to themselves.)

The resulting state diagram is as follows:



2. (6 points). Use the procedure CONVERT(G) outlined in class (and on page 73 of the textbook) to compute the values of the transitions  $\delta'(q_{start}, q_2)$ ,  $\delta'(q_{start}, q_{accept})$ , and  $\delta'(q_2, q_{accept})$ after removing state  $q_1$  from the GNFA below. Hint: Recall that  $\emptyset^*$  evaluates to the language  $\{\varepsilon\}$ .



The procedure CONVERT(G) reduces the number of states of G one by one. At each step, we select a state  $q_{rip} \neq q_{start}, q_{accept}$  and remove it from the state set. For each pair of states  $(q_i, q_j)$  such that  $q_i \neq q_{accept}, q_j \neq q_{start}$ , we set

$$\delta'(q_i, q_j) = R_1 R_2^* R_3 \cup R_4,$$

where  $R_1 = \delta(q_i, q_{rip}), R_2 = \delta(q_{rip}, q_{rip}), R_3 = \delta(q_{rip}, q_j), \text{ and } R_4 = \delta(q_i, q_j).$ 

We designate  $q_{rip} = q_1$  and consider each possible pair of states  $(q_i, q_j)$  in turn:

- 1.  $(q_i, q_j) = (q_{start}, q_2)$ . In this case,  $R_1 = 11, R_2 = \emptyset, R_3 = 0^*, R_4 = 10$ . We set  $\delta'(q_{start}, q_2) = R_1 R_2^* R_3 \cup R_4 = 11 \emptyset^* 0^* \cup 10 = 110^* \cup 10$ .
- 2.  $(q_i, q_j) = (q_{start}, q_{accept})$ . In this case,  $R_1 = 11, R_2 = \emptyset, R_3 = \Sigma\Sigma, R_4 = \emptyset$ . We set  $\delta'(q_{start}, q_{accept}) = R_1 R_2^* R_3 \cup R_4 = 11 \emptyset^* \Sigma\Sigma \cup \emptyset = 11\Sigma\Sigma$ .
- 3.  $(q_i, q_j) = (q_2, q_{accept})$ . In this case,  $R_1 = 0^+, R_2 = \emptyset, R_3 = \Sigma\Sigma, R_4 = \varepsilon$ . We set  $\delta'(q_2, q_{accept}) = R_1 R_2^* R_3 \cup R_4 = 0^+ \emptyset^* \Sigma\Sigma \cup \epsilon = 0^+ \Sigma\Sigma \cup \epsilon$ .

#### 4 Problem 4 (12 points)

1. (3 points). What is the language of the grammar  $G_1$  below? Here S, A, and B are the variables and 0 and 1 are the terminals. Explain your reasoning.

$$S \to 1A1$$
$$A \to S \mid B$$
$$B \to 0B \mid \varepsilon$$

By examining rules 1 and 2, we see that we generate two equal length strings of 1's on either side of the variable A until we use the production rule  $A \rightarrow B$ . At that point, we generate some number of 0's before producing a terminal empty string. The language of this grammar is thus

$$\{1^n 0^m 1^n \mid n \ge 1, m \ge 0\}.$$

2. (3 points). What is the language of the grammar  $G_2$  below? Here A and B are the variables and x, y, and z are the terminals. Explain your reasoning.

$$\begin{array}{l} A \rightarrow xAx \mid yAy \mid zAz \mid B \\ B \rightarrow x \mid y \mid z \mid \varepsilon \end{array}$$

The first rule produces pairs of x's, y's, and z's in any order on either side of the variable A until we use the production rule  $A \to B$ . At this point, we finish our string with an x, y, z, or empty string in the middle. The result is the language of all palindromes on the alphabet  $\Sigma = \{x, y, z\}$ . (To see this, recall that  $w^R$  denotes the reverse of w and observe that even-length palindromes have the form  $ww^R$  for some string  $w \in \Sigma^*$  and that odd-length palindromes have the form  $wxw^R$ ,  $wyw^R$ , or  $wxw^R$  for some string  $w \in \Sigma^*$ .)

3. (3 points). Design a grammar for the language

$$D = \{a^i b^j c a^j b^i \mid i, j \ge 1\}$$

and explain why your grammar produces D.

Define the grammar  $G_3 = \{\{A, B\}, \{a, b, c\}, R, A\}$ . The set of rules R is

$$A \to aAb \mid aBb$$
$$B \to bBa \mid bca$$

The first rule generates two equal-length substrings of a's and b's on either side of the variable B. These substrings have length at least one, as guaranteed by the production rule  $A \rightarrow aBa$ . The second rule generates two equal-length substrings of b's and a's on either side of the terminal c. The final production rule  $B \rightarrow bcb$  ensures that these substrings have length at least 1.

4. (3 points). Design a grammar for the language

 $L = I(saw \cup met \cup loved)(the \cup a)(very)^*(large \cup tiny \cup red)(frog \cup dog)$ 

and explain why your grammar produces L. (You can treat each word as a single terminal symbol.)

Define the grammar  $G_4 = \{\{S, A, B, C, D, E\}, \{I, saw, met, loved, the, a, very, large, tiny, red, frog, dog\}, R, S\}$ . The set of rules R is

 $S \rightarrow IABCDE$   $A \rightarrow saw \mid met \mid loved$   $B \rightarrow the \mid a$   $C \rightarrow veryC \mid \epsilon$   $D \rightarrow large \mid tiny \mid red$   $E \rightarrow frog \mid dog$ 

This language is a long concatenation, as is its grammar. Variables S, A, B, D, and E are straightforward replacements with terminals. The variable C is replaced with some number of concatenations of the terminal 'very.'