

# COMS 3261 - Lecture 3, Part 1:

- Closure of the regular languages under regular operations
- Regular Expressions:

Announcements: HW1 due 7/6/21 @ 11:59 PM EST (Tuesday)

HW2 (short homework) due 7/12/21 @ 11:59 EST.

Readings: Sipser, end 1.2 and 1.3 (Monday)

## Today:

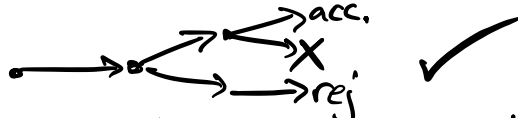
1. Review
2. Regular languages closed under  $\cup, \circ, *$
3. Regular expressions
4. Regular expressions describe regular languages
- (5. Regular languages can all be described by regular expressions.)

## 1. Review

- CS Theory  $\approx$  formal science on computation
- Languages = sets of strings  $\approx$  mathematical 'concepts'
- Automata - read strings and accept or reject

"recognizing languages"

$\hookrightarrow$  DFAs 


$\hookrightarrow$  NFAs 

- Regular languages - those recognized by DFAs (also NFAs)

- Proof structure:

Wanted to show 'if this object(s) exist, ... exists.'

- Regular operations.

$A \cup B$ : 

$A \circ B := \{xy, x \in A, y \in B\}$

$A^* := \{x_1 \dots x_k \mid k \geq 0, x_i \in A\}$

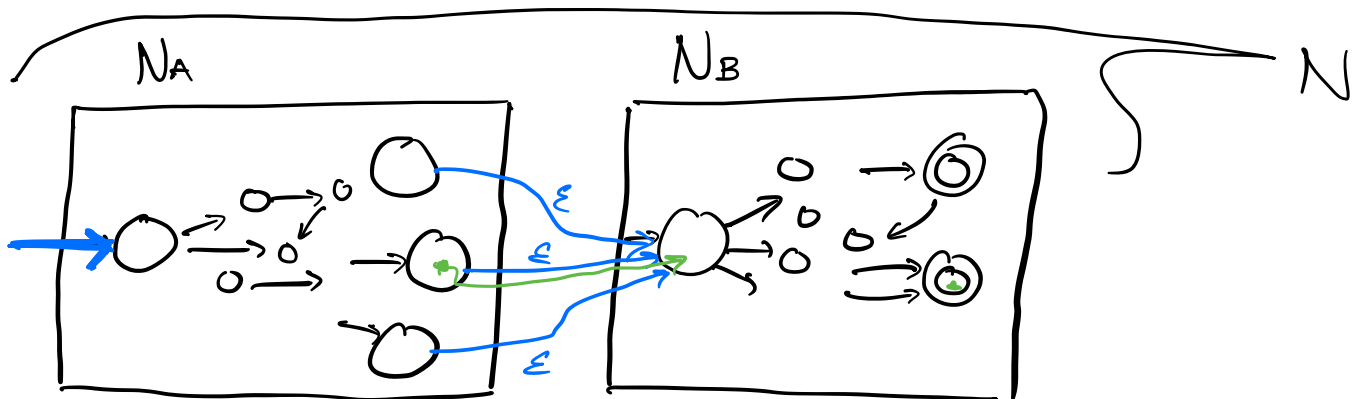
## 2. Closure of Reg. Languages under Reg. Operations

Theorem: The class of regular languages is closed under concatenation ( $\circ$ ).

(Equiv: If  $A$  regular,  $B$  regular languages, then  $A \circ B$  regular.)

Idea: Build an NFA that recognizes  $A \circ B$ , by nondeterministically guessing when to stop reading a string from  $A$  and start reading a string from  $B$ .

Proof by Picture. Suppose we have two regular languages,  $A$  and  $B$ , recognized by the NFAs  $N_A$  and  $N_B$ . We'll build a new NFA,  $N$ , that recognizes  $A \circ B$ .



Goal: accept  $xy$  s.t.  $x \in A, y \in B$ .

Create  $N$  by:

- (1) including  $N_A$  and  $N_B$  entirely
- (2) let the start state of  $N$  be the start state of  $N_A$
- (3) Give each accept state in  $N_A$  an  $\epsilon$ -arrow to the

start state of  $N_B$ , and turn accept states of  $N_A$  into ordinary states.

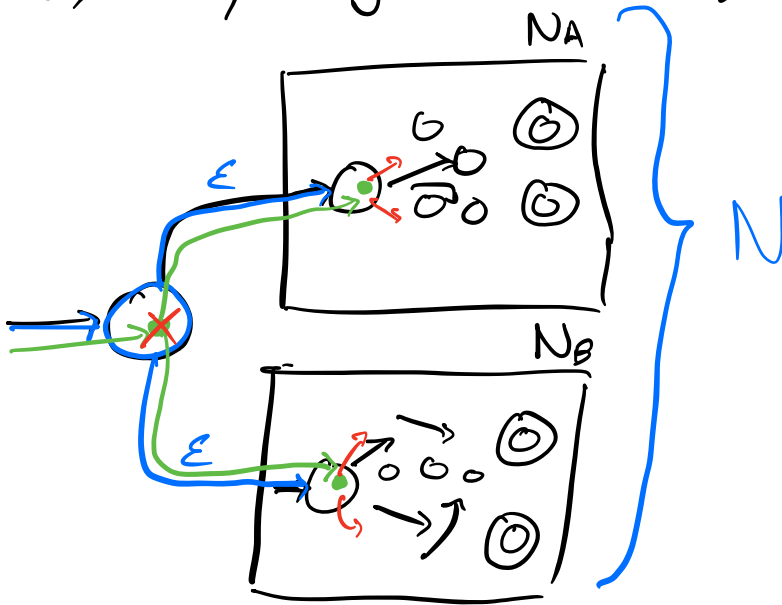
Claim:  $N$  accepts  $w \iff w = xy$  for some  $x \in A, y \in B$ .

$\Leftarrow$ . Suppose  $x \in A, y \in B$ . On input  $xy$ , some branch of computation reaches an accept state of  $N_A$  after reading in  $x$ . That branch then takes the  $\epsilon$ -transition to the start of  $N_B$ , which accepts on  $y$ .

$\Rightarrow$ . Suppose  $N$  accepts some string  $w$ . Then  $\exists$  some branch that reaches an accept state. Let  $r_1, r_2, \dots, r_{(N_B)}, \dots, r_m$  be the sequence of states that track our accepting branch, and let  $r_{(N_B)}$  denote the start state of  $N_B$ . Then we know that  $r_1 \dots r_{(N_B-1)}$  correspond to a branch that reaches an accept state of  $N_A$ , and  $r_{(N_B)} \dots r_m$  correspond to an accepting sequence in  $N_B$ .  $\square$

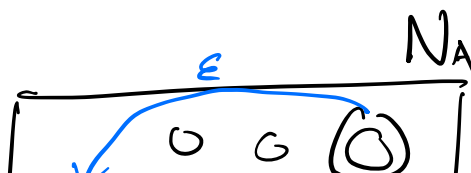
Theorem. (Already proved.) <sup>The class of</sup> Regular languages is closed under union.

Proof by picture. Given NFAs  $N_A$  and  $N_B$  that recognize languages  $A$  and  $B$ , the following NFA  $N$  recognizes  $A \cup B$ .

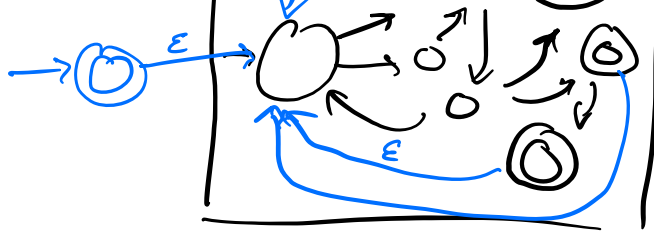


Theorem. The class of regular languages is closed under star (\*).

Proof by Picture. Let  $N_A$  be an NFA that recognizes  $A$ . We'll show an NFA  $N$  that recognizes  $A^*$ .



$x_1 x_2$



$$A^* := \{a_1 a_2 a_3 \dots a_k \mid k \geq 0, a_i \in A\}$$

1.  $\epsilon$ -arrows from end states to start state. This ensures that every time a branch of computation recognizes a substring, we guess a partition of the input at that index and try to read a new substring.
2.  $\epsilon$  case. Make sure we accept  $\epsilon$ !

Punchline: If we know that some set of languages  $R$  contains only regular languages, then anything we build from  $R$  using regular operations ( $\cup, \circ, *$ ) is also regular!

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Next up: regular expressions!