

COMS 3261 - CS Theory

Summer A 2023

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twrand.github.io/3261-sum23.html

Today:

1. What is CS theory?
 2. Course Nuts & Bolts
 3. Automata: Math Machines
 4. Discussion: Course Structure & Assessment
-

1. What is CS theory?

Using math to learn about computational problems.

?

?

Problems vs. Instances
 a well defined task, usually requiring some input.
 a specified input for a problem

Problem: find the sum of two numbers.

Input: 1682, 9387

Output: 11,079

$f_{\text{ADD}}: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$

$f_{\text{square}}: \mathbb{R} \rightarrow \mathbb{R}$

P2: find the prime factorization of input

In: 91

Out: 7, 13

P3: Sort an input list

In: [18, 3, 12, 9]

Out: [3, 9, 12, 18]

P4: Count all triangles in a graph

In: 

Out: ~~3~~ 4

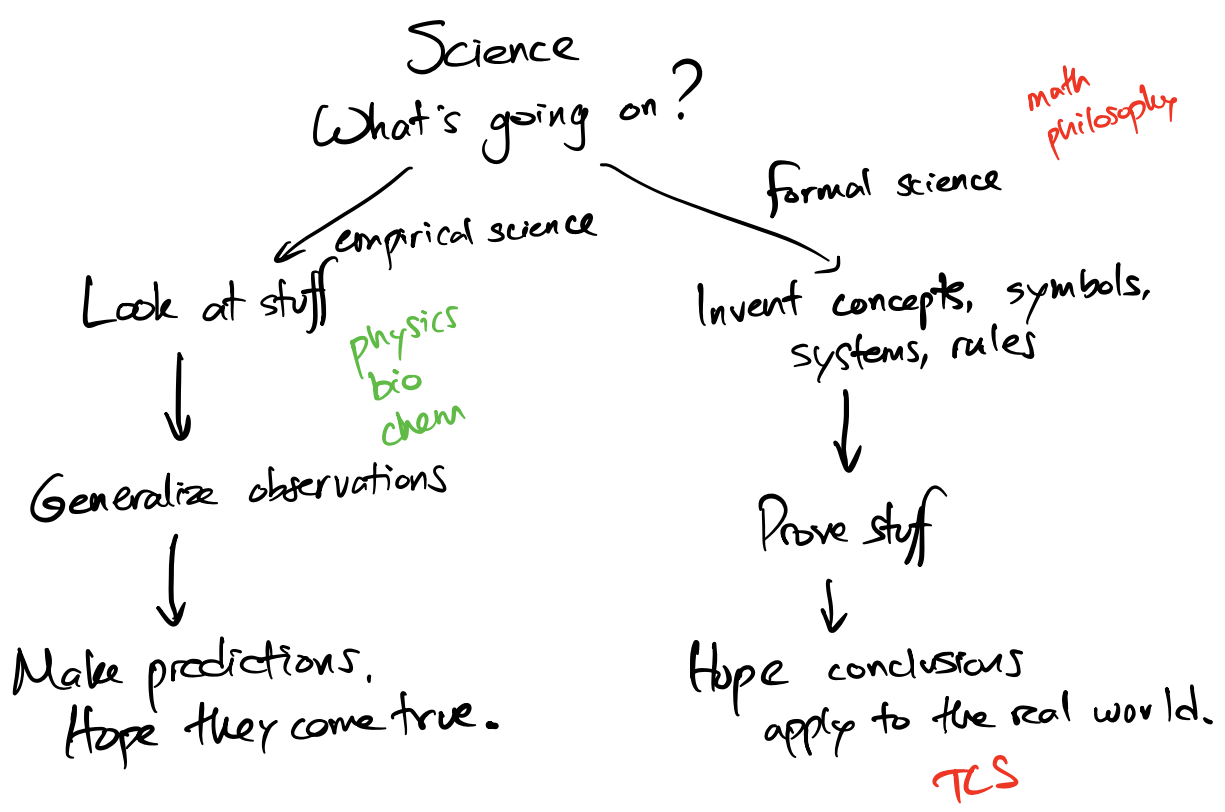
Computation $\stackrel{\sim}{=}$ solving problems

"how hard is computing solutions to this prob?"

"are these two problems related, and how?"

"does the computer/model of computation matter?"

What math? Whatever it takes.



1.2 - An impossible program

Q1) Can we write a program that enumerates all the natural numbers \mathbb{N} ?

```
i := 1  
while true:  
  print i  
  i := i + 1
```

Q2) Can we enumerate \mathbb{Z} ?

```
i := 1  
print 0  
while true:  
  print i, -i  
  i := i + 1
```

Q3) Can we enumerate \mathbb{Q} ? $\tau_{m/n}$

$m \setminus n$	0	1	2	3	4
1
2
3
4

(Note: Red arrows in the original image point to the diagonal elements: (1,1), (2,2), (3,3), (4,4), etc.)

Q4) Can we enumerate \mathbb{R} ? No.

Theorem: (Cantor, 1891) You can't have a program that enumerates \mathbb{R} .

(Given any program that outputs an infinite sequence of real numbers, there is some real not in the sequence.)

Example program output: (on $[0, 1]$)

0.	1	0	0	0	0	...	
0.	1	0	1	0	...		
0.	1	3	4	7	5	...	$\Rightarrow 0.21037...$
0.	2	1	6	7	8	...	
0.	2	3	8	4	6	...	

(Note: The diagonal elements 1, 0, 4, 7, 6 are crossed out in the original image. The resulting number 0.21037... is underlined in red.)

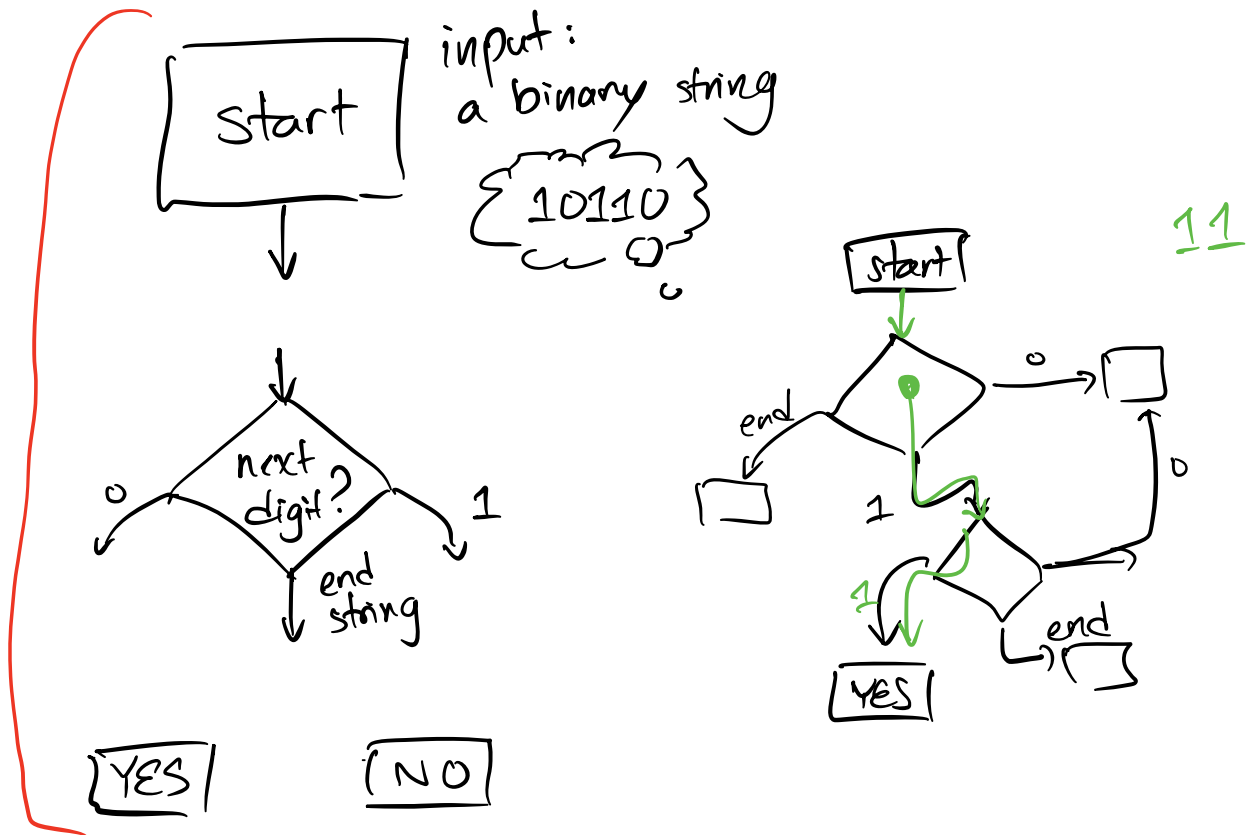
We'll construct a real number not in the output of this program.

Conclusion: given any program that outputs an infinite sequence of reals, there exists a real not in the sequence. \therefore

0.	0	1					
0.	0	1	2				
0.	0	0	2	3			
0.	0	0	0	3	4		

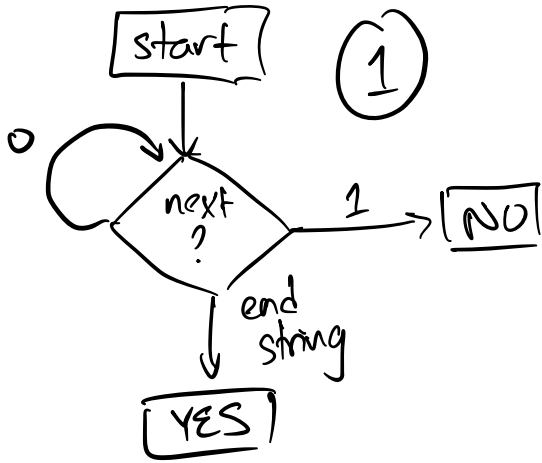
$= 111...$

Back at 2:15



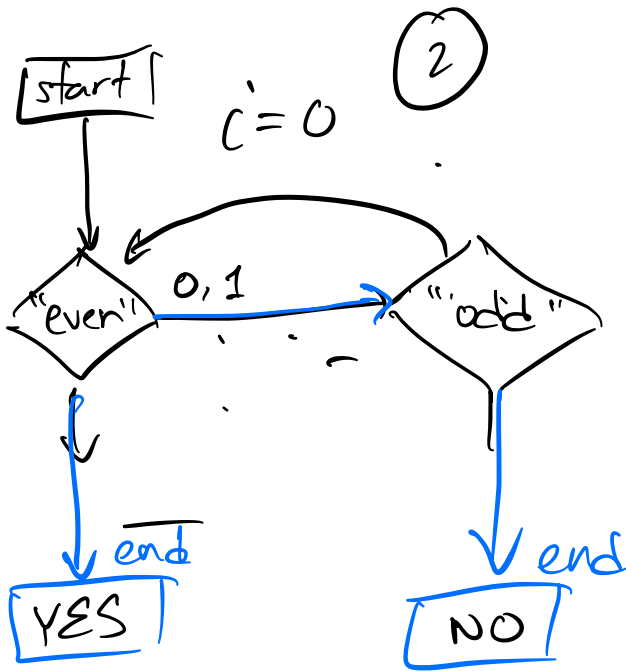
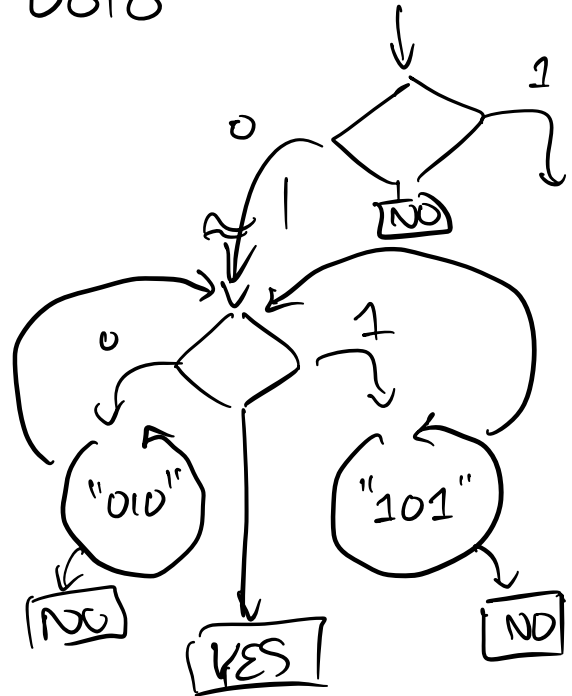
With these pieces:

- 1) Build a flow chart that accepts a binary string if and only if it has no 1's.
- 2) Build a flow chart that accepts only strings with an even number of digits.
- 3) Build a flow chart that accepts strings divisible into the substrings "101" and "010" (e.g. 101101, 010101)
- 4) Build a flow chart that accepts palindromes.



00
0000
0

0010



Def. Alphabet = finite, nonempty set (of "characters").

$\{0, 1\}$ $\{0, 1, \dots, 9\}$

$\{0, 1, \dots, 9, A, \dots, F\}$

$\{a, b, \dots, z\}$


$\{\square, \triangle, \odot\}$

Def. String := finite sequence of characters from/over an alphabet.

* Special: ϵ is a special symbol for "", empty string.

$\emptyset = \{ \} = \text{empty set}$ 

$\epsilon = \text{empty string}$

$\{\epsilon\} = \langle \epsilon \rangle$  $\{ \{\emptyset\}, \emptyset \}$

$\{\emptyset\} = \{\{\epsilon\}\}$

$\{\{\epsilon\}\}$

$\{\emptyset, \epsilon\}$

ϵ

$010\epsilon = 010$

string operators

Let w be some string

$|w|$ is the length (# of characters) in w .

w^R is w "reversed"

$(cat)^R = tac$

For w, x strings, wx is concatenation

011 000

011000

$\{0, 1\}^k = \text{all the strings consisting of } k \text{ characters from this alphabet.}$

$\{0, 1\}^3 = \{000, 001, 010, 100, 011, 110, 101, 111\}$

Def. Language := a (possibly infinite) set of strings.

$\{0, 1, 11, 01100, 101010\}$

$\{x \mid x \text{ is a string over } \{0,1\} \text{ of even length}\}$

$\{x \mid x \text{ is a string over } \{0,1,\dots,9\} \text{ and:}$

- x is prime
- $x = \text{tomorrow's winning lotto number}$
- $x = a^n + b^n$ for $n > 2$,
 a, b , integers

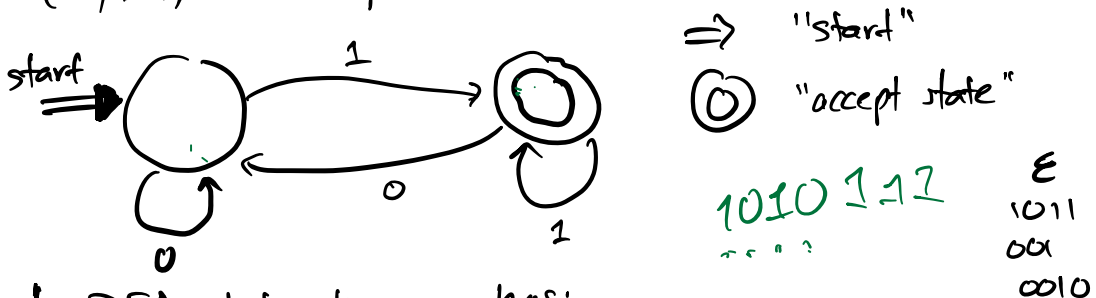
Languages \approx concepts

deciding if $x \in \text{language } L \approx \text{recognizing concept}$

3.3 DFA (Deterministic Finite Automaton)

A DFA is a machine that takes an input string (from a certain alphabet), reads it one character at a time and accepts/rejects.

On $\{0,1\}$, an example:



Def. A DFA state diagram has:

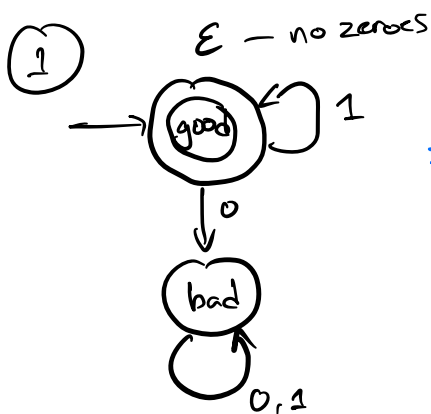
- exactly one start state, marked by \Rightarrow
- transitions from every state, on every character of a given alphabet

- (optionally) some accept states \odot

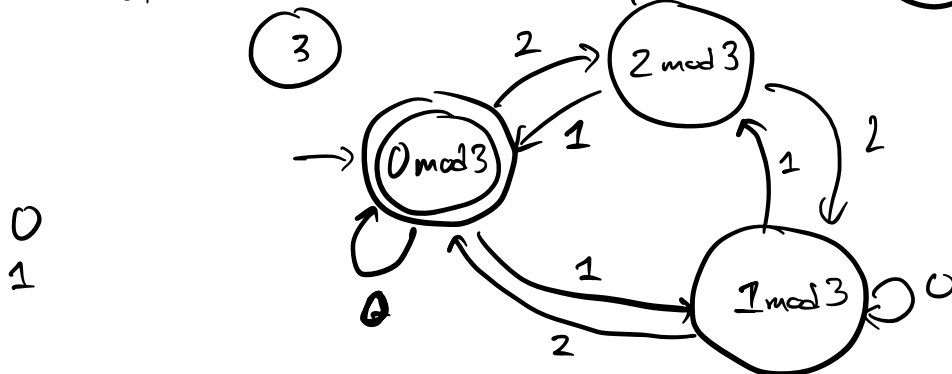
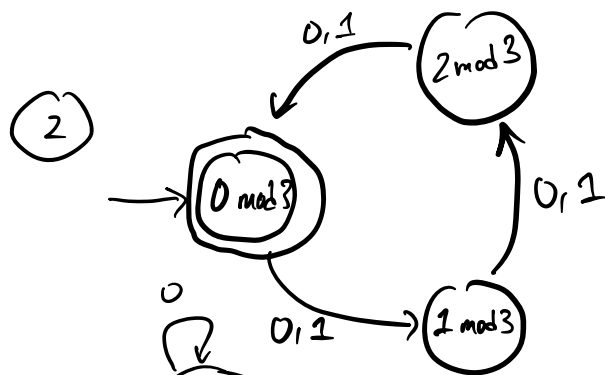
Def. The set of all strings accepted (or "recognized") by a DFA is the language of that DFA.

~ Back at 3:20 ~ (Over $\{0,1\}$)

- 1) Build a DFA that accepts binary strings with no 0's
- 2) Build a DFA that accepts strings with length divisible by 3.
- 3) Build a DFA over the alphabet $\{0,1,2\}$ that accepts if the sum of the digits is $0 \pmod 3$
- 4) Build a DFA over $\{0,1\}$ that accepts if and only if the string (1) starts and ends with 0 (2) has even length



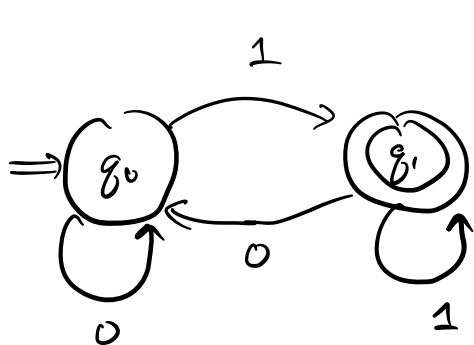
1110



0
1

Def: DFA (math). A DFA is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, with the following parts:

- Q is a finite set of states
- Σ is an alphabet
- q_0 is the name of the start state
- $F \subseteq Q$ is the set of accept states
- $\delta: Q \times \Sigma \rightarrow Q$ is a transition function.



$$\{Q, \Sigma, \delta, q_0, F\}$$

$$= Q = \{q_0, q_1\}$$

$$\Sigma = \{0, 1\}$$

$$F = \{q_1\}$$

$$\delta: \delta(q_0, 0) = q_0$$

$$\delta(q_0, 1) = q_1$$

$$\delta(q_1, 0) = q_0$$

$$\delta(q_1, 1) = q_1$$

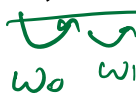
	0	1
q_0	q_0	q_1
q_1	q_0	q_1

Def.

DFA acceptance: If $M = (Q, \Sigma, \delta, q_0, F)$ is

a DFA and $w = \underline{w_0 w_1 \dots w_{n-1}}$ is an n -digit string, with each $w_i \in \Sigma$, then M accepts w if

there is a sequence of states $r_0, r_1, \dots, r_n \in Q$ such that 1) $r_0 = q_0$



degenerate case: if $|r| \leq 0$, no requirement.

2) $\delta(r_0, w_0) = r_1, \delta(r_1, w_1) = r_2, \dots$
 $\delta(r_{n-1}, w_{n-1}) = r_n$

3) $r_n \in F$.

limiting case: accepting ϵ

$$w = \epsilon$$

trivial: "each $w_i \in \Sigma$ "

sequence: r_0

$$r_0 = q_0$$

Def. The set of languages recognized by some DFA is the "regular languages."

To do:

- read course webpage
- fill out survey (today!)
- HW 1 up soon, due Mon.

Solution to DFA puzzle 4, above:

