

$Z_{TM} = \{ \langle M \rangle \mid M \text{ is a TM over } \Sigma = \{0, 1\}, \text{ and } M \text{ accepts on all strings containing } 0 \}$

Puzzle: show Z_{TM} is undecidable by reducing from A_{TM} .

(That is: Assume we have a decider M_Z for Z_{TM} , and use it as a subroutine in a decider for $A_{TM} = \{ \langle M, w \rangle \mid M \text{ accepts } w \}$.)

(Decider for A_{TM})

"On input $\langle M, w \rangle$:

// Use M_Z to figure out if $M(w)$ accepts.

// Want: TM T such that $\langle T \rangle \in Z_{TM} \iff M(w)$ accepts

- Define a TM T such that:

~~On input $x \neq 0$: accept.~~

~~On input 0 , simulate $M(w)$ and accept if $M(w)$ accepts.~~
On any input x ,

// If $M(w)$ accepts, $L(T) = \Sigma^*$. $T \in Z_{TM}$, $M(w)$ accepts

If $M(w)$ doesn't accept, $0 \notin L(T)$. $T \notin Z_{TM}$, $M(w)$ doesn't accept.

- Simulate $M_Z(\langle T \rangle)$ and accept if and only if the simulation accepts."

Thus, given M_Z , we can construct a decider for A_{TM} . This is a contradiction, because we know A_{TM} is undecidable.

\therefore our assumption is false and M_Z cannot exist:

Z_{TM} is undecidable.

GOAL: $M(w)$ accepts?
CAN DO: $TM \in Z_{TM}$?

Take 2:

$ALL_{TM} = \{ \langle M \rangle \mid M \text{ accepts all strings} \}$.

Assume M_2 decides ~~ALL~~ ALL_{TM}

$M_2(\langle T \rangle)$ tells us if T accepts all strings.

To decide A_{TM} , define: $(A_{TM} = \{ \langle M, w \rangle \mid M(w) \text{ accepts} \})$.

$M_{A_{TM}} =$ "On input $\langle M, w \rangle$:

- Let T be a TM that ignores its input, simulates $M(w)$, and accepts if $M(w)$ accepts.

- Write $\langle T \rangle$ on our tape, but don't simulate it.

(If $M(w)$ accepts, T accepts everything.)

- Run $M_2(\langle T \rangle)$, which tells us if T accepts all strings $\iff M(w)$ accepts.

- Accept if $M_2(\langle T \rangle)$ accepts."

$M_{A_{TM}}$ decides A_{TM} , which is a contradiction. Thus deciding ALL_{TM} is not possible.

Today: Complexity.

1. Complexity vs. computability
(Big-O review)

2. The class P

3. The class NP.

Computability \approx whether a TM can do something

- recognize, deciding languages.

- computing a function

Def. A function $f: \Sigma^* \rightarrow \Sigma^*$ is computable if there exist a TM that, for any input string $w \in \Sigma^*$, halts with $f(w)$ on the tape.

Complexity \approx the quantity of resources a TM takes to do something.

- time (number of steps/transitions).
- space (number of tape cells).
- randomness (bits/# of coin flips).
- quantumness (qbits/quantum ops).
- communication (messages exchanged between parties, queries to some information source.)

Def. (TM running time). If M is a deterministic TM that always halts, the running time/time complexity of M is the maximum number of steps that M takes on any input of length n . (A function, $f(n)$).

Example: does the input contain 0?

$N =$ "On input w , of length $|w|=n$:

- scan input left to right, and accept if we see an 0.
- otherwise, reject at the end of the string."

- Takes "roughly" n steps. ($n+10$, $n-1$).

(Do we care about factors less than n ?)

- $|w|=n$ matters - input length almost always important.

Back at 2:13

Big-O review:

Let f, g be positive functions, increasing in n .

• $f(n) = O(g(n))$ if there exist positive integers n_0, c such that

$$\frac{f(n)}{g(n)} \leq c \text{ for all } n \geq n_0.$$

$f < g$, up to multiplication, in the long run.

$$O(1) \leq O(\log(n)) \leq O(n^{0.1}) \leq O(\sqrt{n}) \leq O(n) \leq O(n^3) \ll O(2^n).$$

$$\underline{n^{1000} < 1.0001^n}, \text{ for } n \text{ really big.}$$

Dup = $\{ \underbrace{0^a \# 0^b \# 0^c \dots}_{m \text{ strings of } 0\text{'s}} \mid \text{there exist two } 0 \text{ substrings of the same length?} \}$

Regular TM: shuttle back and forth $O(n)$ times, $O(n)$ distance, for all $O(m^2)$ pairs of 0 substrings to check for duplicates.
 $O(n^2 \cdot m^2)$.

2-tape TM: copy the input, and check two substrings in time $O(n)$.



(2-tape NTM)

NTM: Nondeterministically branch into $O(m^2)$ branches, each of which checks a different pair of 0 substrings. (Accept if any branch accepts). $O(n^2) \rightarrow O(n)$

NTM's ability to "guess a solution" makes them really good at problems that involve "guess and check."


SUDOKU = $\{ \langle S \rangle \mid S \text{ is a sudoku puzzle (} n \times n \text{ generalization) and } S \text{ has a correct solution.} \}$.

NTM can guess all possible solutions and check.

SALESMAN = $\{ \langle G, t \rangle \mid G \text{ is a graph of cities with edges labeled by distance, and some tour of the graph visits every city and traverses a path of length at most } t. \}$.

Vertex Cover: Given a graph, find a subset of the vertices of size at most t that is adjacent to every vertex.

Subset Sum: Given a set of numbers, is there any subset that adds up to a target t ?



Def. P is the class of languages that a TM can decide in polynomial time.

$O(n^k)$ for some fixed k ,
also written "poly(n)".

$$n^{1000} \ll 1.00001^n.$$

Note: poly(n)-time deciders can use each other as subroutines and stay poly(n).

Example: M_1 runs in time $O(n^c)$

M_2 "calls" M_1 $O(n^d)$ times:

total runtime is $O(n^c \cdot n^d) = O(n^{c+d})$.

Takeaway: $P = \text{poly}(n)$ -time decidable
= "efficiently" decidable languages.

Languages in P :

- regular languages $\subseteq P$.
(logic: any DFA takes n steps, so an equivalent TM takes $O(n)$ steps.)
- CFLs $\subseteq P$ (proof slightly harder.)
- searching, arithmetic ($+$, \times , \div , $-$), basic problems on sets, graphs, (element distinctness, connectivity...).

Back at 3:00

$\{0^n 1^n \mid n \geq 0\}$.

NP.

Def 1. NP is the class of languages that a NTM can decide in time $\text{poly}(n)$.

Def 2. NP is the class of all languages in which every string can be "verified" by a short "proof."

Def. A Verifier for a language L is a deterministic TM V_L such that, for every $w \in L$, there exists a 'proof' or 'certificate' string c that makes $V_L(\langle w, c \rangle)$ accept.

Def 2 (formal). NP is the class of languages that have a $\text{poly}(n)$ -time verifier V .

Takeaway: $NP \approx$ efficiently verifiable languages.

Theorem: Def 1 (languages an NTM can decide in time $\text{poly}(n)$) and Def 2 (languages with a $\text{poly}(n)$ -time verifier) are equivalent.

Proof.

\Rightarrow . Say L is decided by a $\text{poly}(n)$ -time NTM N_L .

- Some branch, or series of choices/transitions, causes N_L to reach an accept state in time $\text{poly}(n)$.
- Our verifier, V_L , will verify a string $w \in L$ when given a certificate c that summarizes the choices made by the accepting branch of N_L .

$V_L =$ "On input $\langle w, c \rangle$:

- Simulate $N_L(w)$ but only the one branch indicated by c .
(Our simulation is deterministic.)
- Accept if and only if our simulation accepts."

\Leftarrow . Say L is a language with a $\text{poly}(n)$ -time verifier V_L .

Thus, for each $w \in L$, there exists a certificate c such that $V_L(\langle w, c \rangle)$ accepts in time $\text{poly}(n)$.

Build an NTM that decides L by nondeterministically guessing c , and simulating $V_L(\langle w, c \rangle)$ for every possible c on a different branch. Accept if any branch accepts.

(* w.l.o.g., we can assume $|c| = \text{poly}(n)$ - otherwise, V_L can't read it.)

$P =$ efficiently decidable by TM.

$NP =$ efficiently decidable by NTM
OR efficiently verifiable.

$P \neq NP$, right? Unproved.

($P \subseteq NP$.)

Next time: elaborate on NP

NP-completeness: "being at least as hard as any problem in NP."

Course evals - bring advice.

Snacks.

Segue into final review.

Final:

Problems reproduced on final:

HW 2, 2.

HW 3, 1.

HW 3, 2.

HW 4, 2.

$$L_1 = \{0^a \# 1^a \# 2^{2a} \mid a \geq 0\}$$

Goal: Show L_1 is not CF using the CFPL.

- Assume for contradiction that L_1 is CF.

- Thus the CFPL applies: the CFPL states that

there exists a number p , such that for all $w \in L_1$, $|w| \geq p$,

there is some way to divide w into 5 pieces

$w = uvxyz$, such that:

(1) $uv^ixyz \in L_1$ for any $i \geq 0$.

(2) $|v| > 0$,

(3) $|vxy| \leq p$.

- Now we'll pick a specific string $w \in L_1$, $|w| \geq p$, and show that no way of dividing w into $uvxyz$ satisfies 1, 2, and 3.

$$w = 0^p \# 1^p \# 2^{2p}. \quad (w \in L_1, |w| \geq p, |w| = 4p + 2.)$$

Case 1: either v or y contains a $\#$.

Now: cond'n (1) says $uv^2xy^2z \in L$ ($i=2$). But this string repeats v and y , so it has at least 3 $\#$'s. X

(For any $i \neq 1$, $uv^i xy^i z$ has too many/too few $\#$'s.)

Case 2: v and y contain more 0's than 1's,
(or more 1's than 0's.)

Then, uv^2xy^2z has more 0's than 1's (more 1's than 0's).

Case 3: v and y contain the same number of 0's and 1's.

(3a) \rightarrow v and y contain at least one 1, and one 0.

Claim: v is a substring of 0's, and y is a substring of 1's.

v comes before y .

Case 1 is ruled out, so v, y contain no $\#$'s.

Now: uv^2xy^2z has more 0's + 1's than 2's.

(3b) \hookrightarrow v and y contain no 0's and no 1's.

Because we're not in case 1, v and y have no $\#$'s,

and thus v, y contain only 2's.

$|vy| > 0$ (condition 2) implies v, y contain at least one 2.

So uv^2xy^2z has more 2's than 1's + 0's.